

PATENT Docket No. 485772000400

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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Aurelia Caparas

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Thomas M. BAER et al.

Serial No.:

08/984,979 🗸

Filing Date:

December 4, 1997

For:

CONSUMABLE FOR LASER CAPTURE MICRODISSECTION

Examiner: T. Nguyen

Group Art Unit: 2877

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2002 CENTER 2.800

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

002007/000 93/30/10 00000013 03/952 00084979

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| This In | formatio | on Disclosure Statement is submitted: | | | | | | |
|---|---|---|--|--|--|--|--|--|
| | Within three months of the application filing date or before mailing of a first | | | | | | | |
| | Office | Office Action on the merits; accordingly, no fee or separate requirements are | | | | | | |
| | requir | required. | | | | | | |
| After receipt of a first Office Action on the merits but before mailing o | | | | | | | | |
| | Office Action or Notice of Allowance. | | | | | | | |
| | | A fee is required. A check in the amount of * is enclosed. | | | | | | |
| | \boxtimes | A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is | | | | | | |
| | | attached to this submission in duplicate. | | | | | | |
| | | A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; | | | | | | |
| | | no fee is believed to be due. | | | | | | |
| | After | mailing of a final Office Action or Notice of Allowance, but before payment | | | | | | |
| | of the issue fee. | | | | | | | |
| | | A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in | | | | | | |
| | | the amount of * is enclosed. | | | | | | |
| | | A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee | | | | | | |
| | | Transmittal form (PTO/SB/17 is attached to this submission in duplicate. | | | | | | |

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing <u>485772000400</u>. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: January 16, 2002

Respectfully submitted,

3y: <u>/</u>/

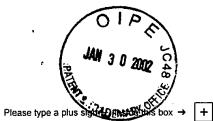
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PTO/SB/21 (08-00) Approved for use through 10/31/02. OMB 0651-0031

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|---|--|---|--|--|--|--|--|
| TDANG | CAUTTA1 | Application Number | 08/984,979 | | | | |
| | SMITTAL | Filing Date | December 4, 1997 | | | | |
| FC | ORM | First Named Inventor | Thomas M. BAER | | | | |
| | | Group Art Unit | 2877 | | | | |
| (to be used for all corre | spondence after initial filing) | Examiner Name | T. Nguyen | | | | |
| Total Number Of Pages in Th | nis Submission 14 PAGES + 76 REFERENCES | Attorney Docket No. | 485772000400 | | | | |
| | ENCLOSI | JRES (check all that | | | | | |
| Fee Transmittal Form fee processing - 2 pa | m + duplicate for Assi | gnment Papers an Application) | After Allowance Communication to Group | | | | |
| Fee Attached | d / Drav | wing(s) | Appeal Communication to Board of Appeals and Interferences | | | | |
| Amendment / Reply | Lice | nsing-related Papers | Appeal Communication to Group (Appeal Notice, Brief, Rept Brief) | | | | |
| After Final | Petil | tion | Proprietary Information 8 | | | | |
| Affidavits/de | clarations I I | tion to Convert to a risional Application | Status Letter | | | | |
| Extension of Time R | | er of Attorney, Revocation nge of Correspondence Ado | Other Enclosure(s) (please identify below): | | | | |
| | _ | ninal Disclaimer | Form PTO-1449 + copy - 8 pages Seventy-six (76) References Return Receipt Postcard | | | | |
| Express Abandonme | ent Request Req | uest for Refund | | | | | |
| Supplemental Information Statement - 3 pages | | Number of CD(s) | | | | | |
| Certified Copy of Pri | iority Document(s) Remarks | | | | | | |
| Résponse to Missing Incomplete Applicati | g Parts/ ion | | | | | | |
| | Missing Parts R 1.52 or 1.53 | | | | | | |
| ••·· • • • • • • • • • • • • • • • • • | SIGNATURE OF A | PPLICANT, ATTORN | EY OR AGENT | | | | |
| Firm | Morrison & Foerster LLP, 755 Page | · | | | | | |
| or | Dimon T Lukon Don No. 46 454 | | | | | | |
| Individual Name Rimas T. Lukas, Reg. No. 46,451 | | | | | | | |
| Signature | Kins Antao | _ | | | | | |
| Date | January 16 2002 | | | | | | |
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| CERTIFICATE OF MAILING BY "FIRST CLASS MAIL" I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on January 6 2002. Aurelia Caparas | | | | | | | |

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PTO/SB/17 (09-00)

Apply ned for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| PER TRANSMITT | Complete if Known | | | | |
|---|----------------------|---------------------|--------------|--|--|
| FEE TRANSMITT | Application Number | 08/984,979 | | | |
| FOR FY 2002 | Filing Date | December 4, 1997 | | | |
| JAN 3 0 2002 (S) | First Named Inventor | Thomas M. BAER | | | |
| | Examiner Name | T. Nguyen | | | |
| Patent fees are subject to annual revision. | | Group Art Unit | 2877 | | |
| TOTAL AMOUNT OF PAYMENT | (\$)180.00 | Attorney Docket No. | 485772000400 | | |

| TOTAL AMOUNT OF PAYMENT | (\$)180.00 Attorney Docket No. | | 485772000400 | 2 | | | |
|---|--|--|--------------|---------------|--|-------------|--|
| METHOD OF PAYMENT | FEE CALCULATION (continued) | | | | | | |
| 1. The Commissioner is hereby authorized to charge indicated | 3. ADDITIONAL FEES Large Entity Small Entity | | | | 9 | | |
| Deposit Account 03-1952 | Large Fee | Entity Fee | Small Fee | Entity Fee | - | Fee Paid | |
| Number | Code | (\$) | Code | (\$) | Fee Description | , 1 410 | |
| Deposit Account Morrison & Foerster LLP | | | | | | | |
| Name | 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | <u> </u> | |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | 127 | 50 . | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | | |
| Applicant claims small entity status. See 37 CFR 1.27 | 139 | 130 | 139 | 130 | Non-English specification | | |
| 2. Payment Enclosed: | 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | | |
| ☐ Check ☐ Credit Card ☐ Money Order ☐ Other | 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | | |
| FEE CALCULATION | 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | | |
| 1. BASIC FILING FEE | 115 | 110 | 215 | 55 | Extension for reply within first month | | |
| | 116 | 400 | 216 | 200 | Extension for reply within second month | | |
| Large Entity Small Entity Fee Fee Fee Fee Description Code (\$) Code (\$) Fee | 117 | 920 | 217 | 460 | Extension for reply within third month | | |
| Paid | 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | | |
| 101 740 201 370 Utility filing fee | 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | | |
| * 106 330 206 165 Design filing fee | 119 | 320 | 219 | 160 | Notice of Appeal | — | |
| 107 510 207 255 Plant filing fee | 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | ├ ── | |
| 108 740 208 370 Reissue filing fee | 121 | 280 | 221 | 140 | Request for oral hearing | | |
| 114 160 214 80 Provisional filing fee | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | | |
| | 140 | 110 | 240 | 55 | Petition to revive - unavoidable | | |
| SUBTOTAL (1) (\$) 0 | 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | | |
| 2. EXTRA CLAIM FEES | 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | | |
| Extra Fee from Fee Paid Claims below | 143 | 460 | 243 | 230 | Design issue fee | ! | |
| Total Claims 73 -73** = 0 x 9 = \$0 | 144 | 620 | 244 | 310 | Plant issue fee | · | |
| Independent 10 -10 ²⁴ = 0 x 42 = \$0 | 122 | 130 | 122 | 130 | Petitions of the Commissioner | | |
| Multiple Dependent 140 = \$0 | 123 | 50 | 123 | 50 | Petitions related to provisional applications | | |
| | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | 180.00 | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$) | 581 | 40 | 581 | 40 | Recording each patent assignment per properties (times number of properties) | | |
| 103 18 203 9 Claims in excess of 20 | 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | | |
| 102 84 202 42 Independent claims in excess of 3 | 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | | |
| 104 280 204 140 Multiple dependent claims, if not paid | 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | | |
| 109 84 209 42 **Reissue independent claims over original patent | 169 | 900 | 169 | 900 | Request for expedited examination | | |
| 110. 18 210 9 **Reissue claims in excess of 20 and | | | | | of a design application | | |
| over original patent | Other fee (: | specify) | | | | | |
| SUBTOTAL (2) (\$)0 | | | | | | | |
| ** or number previously paid, if greater; For reissues, see above. | *Reduced t | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 180.00 | | | 180.00 | | |
| SUBMITTED BY | | | | | Complete (if applicable) | | |
| Name (Print/Type) Rimas T. Lukas | | ration No ney/Agen | | 46,451 | Telephone (650) 813- | 5905 | |
| Signature Date January (2002 | | | | | | | |

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